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September 13, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Re: U.S. Patent Application Serial No. 10/763,826 entitled

"Combination Of Financial Instruments And Method For Implementing

Investment Strategy"

Attorney Docket No. 018638-0172-01

Dear Sir:

Enclosed for filing in connection with the above-referenced are the following:

- 1. Request And Fee To Delete and/or Add To Original Erroneously Named Or Not Named Inventor(s) In-NonProvisional Application-Declaration (3 pages);
- 2. Statement By Spyros Papadakis In Support Of Correction Of Inventorship (1 page);
- 3. Combined Delcaration and Power of Attorney (2 pages);
- 4. Assent Of Assignee To Correction And/Or Addition Of Inventor(s) (2 pages);
- 5. Statement 3.73 (1 page);
- 6. Recordation Form Cover Sheet Patents Only (1 page);
- 7. A true and correct copy of an Assignment on behalf of Spyros N. Papadakis and Nicholas R. Brown (3 pages); and
- 8. Self-addressed stamped, return postcard.

EXPRESS MAIL CERTIFICATE (37 C.F.R. § 1.10)

Express Mail Label No. EV554303309US

Date of Deposit September 13, 2006

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name Alison B. Weisberg

Signature

Philadelphia Washington New York Los Angeles San Francisco Miami Pittsburgh Princeton Chicago Palo Alto Harrisburg Irvine Boston London Tokyo Brussels Frankfurt



Commissioner for Patents September 13, 2006 Page 2

Kindly acknowledge receipt of these documents by returning the enclosed self-addressed stamped postcard to the undersigned.

The Commissioner is hereby authorized to charge any fees required in connection with this filing or credit any overpayment to Deposit Account No. 50-0310. A duplicate of this authorization is enclosed.

Very truk wours.

Alison B. Weisberg

Enclosures